FORM PTO-1390

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DOCKET NUMBER

P/2107-264

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

1522426

DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO. PCT/EP2003/008229 INTERNATIONAL FILING DATE 25 July 2003 (25.07.03) PRIORITY DATE CLAIMED 26 July 2002 (26.07.02)

TITLE OF INVENTION

(REV. 12-2004)

USE OF ERYTHROPOIETIN

APPLICANT(S) FOR DO/EO/US

Ferdinand Hermann BAHLMANN et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))

TRANSMITTAL LETTER TO THE UNITED STATES

- a. [] is attached hereto (required only if not communicated by the International Bureau).
- b. [X] has been communicated by the International Bureau.
- c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [X] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - by [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [X] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An Executed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [X] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76
- 15. [] A substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-
- 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

enhens

- 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information (specify): 15 sheets of drawings; EFS form; Inventor Designation Sheet; postcard; Front Sheet of the Publication, English Translation of the Front Sheet of the Publication, English Translation of the IPER and annexes, Notification of the Recording of a change

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label # EV 343722159US) in an envelope addressed to: Box PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 14, 2005:

Name of Person Mailing Corre spondence: Dorothy Jenkins

10/522426				PCT/FR2003/00001750			P/210	
21. The following fees are submitted:					DT01 Rec'd PC			IAN 2005
[X] a) Basic national fee\$300.00							\$ 300.00	DAIL 2003
[X] b) Examination fee\$200.00							\$ 200.00	
[X] c) Search fee\$500.00							\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1,000.00							\$ 1,000.00	
[] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							\$ 0.00	
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number			RATE		
- 100 =		/50 =				x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$	
CLAIMS		NUMBER FILED		NUMBER EX	TRA	RATE	\$	
Total Claims		43 - 20 =		23	х	\$ 50.00	\$ 1150.00	
Independent Claims		14 - 3 =		11	х	\$200.00	\$ 2200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$360.00	\$ 00.00	
TOTAL OF ABOVE CALCULATIONS =							\$ 4350.00	
[X] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							\$	
SUBTOTAL =							\$ 4350.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$0.00	
TOTAL NATIONAL FEE =							\$ 4350.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$ 00.00	13. (4.1.14)
TOTAL FEES ENCLOSED =							\$ 4350.00	
 a. [X] A check (check number) in the amount \$\(\frac{4}{.350.00}\) to cover the above fees is enclosed. b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$\(\frac{1}{2}\) to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 								
SEND ALL CORRESPONDENCE TO: Mark A. Farley Ostrolenk Faher Gerb & Soffen LLP Mark A. Farley							1	
Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas New York, New York, 10036-8402 New York, New York, 10036-8402							January 25	<u>5, 2005</u>